



## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

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Title of  
Invention

Business Transaction Processing Systems and Methods

Application Number: 09/699015

Confirmation Number: 5828

First Named Applicant: Charles Bobbitt

Attorney Docket Number: 5053-30802

Art Unit: 2161

Examiner: Unknown Unknown

Search string: ( 5732397 or 5742820 or 5864679 or 5892905  
or 5956719 or 5991756 or 6003033 or 6112209  
or 6272482 or 6289339 or 6385612 or 6438563  
or 6446086 or 5867494 ).pn.

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### US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
EC	1	5732397	1998-03-24	De Tore et al.	↓	↓	↓
EC	2	5742820	1998-04-21	Perlman			
EC	3	5864679	1999-01-26	Kanai et al.			
EC	4	5892905	1999-04-06	Brandt et al.			
EC	5	5956719	1999-09-21	Kudo et al.			
EC	6	5991756	1999-11-23	Wu			
EC	7	6003033	1999-12-14	Amano et al.			
EC	8	6112209	2000-08-29	Gusack			
EC	9	6272482	2001-08-07	McKee et al.			
EC	10	6289339	2001-09-11	Weber			
EC	11	6385612	2002-05-07	Troisi			
EC	12	6438563	2002-08-20	Kawagoe			
EC	13	6446086	2002-09-03	Bartlett et al.			
EC	14	5867494	1999-02-02	Krishnaswamy, et al.			

Signature

Examiner Name	Date
<i>A. Collett</i>	<i>6-28-04</i>

**Form PTO-1449**

(modified)

List of Patents and Publications  
For Applicant's Information  
Disclosure Statement  
(Use several sheets if necessary)

ATTY. DOCKET NO: 5053-30802

APPLICANT: Bobbitt et al.

FILING DATE: October 27, 2000

SERIAL NO: 09/699,015

GROUP: 2161

**U.S. PATENT DOCUMENTS**

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
EC	A1	4,878,167	10/1989	Kapulka et al.			
EC	A2	5,099,422	3/1992	Foresman et al.			
	A3	5,191,522	03/1993	Bosco et al.			
	A4	5,201,044	4/1993	Frey, Jr. et al.			
	A5	5,233,513	8/1993	Doyle			
	A6	5,386,566	1/1995	Hamanaka et al.			
	A7	5,394,555	2/1995	Hunter et al.			
	A8	5,434,994	7/1995	Shaheen et al.			
	A9	5,455,947	10/1995	Suzuki et al.			
	A10	5,483,632	1/1996	Kuwamoto et al.			
	A11	5,499,330	3/1996	Lucas et al.			
	A12	5,504,674	4/1996	Chen et al.			
	A13	5,523,942	6/1996	Tyler et al.			
	A14	5,550,976	8/1996	Henderson et al.			
	A15	5,586,310	12/1996	Sharman			
	A16	5,638,508	6/1997	Kanai et al.			
	A17	5,689,706	11/1997	Rao et al.			
	A18	5,745,901	4/1998	Entner et al.			
	A19	5,768,505	6/1998	Gilchrist et al.			
	A20	5,768,506	6/1998	Randell			
	A21	5,797,134	8/1998	McMillan et al.			
	A22	5,832,481	11/1998	Sheffield			
	A23	5,870,711	2/1999	Huffman			
EC	A24	5,873,066	02/1999	Underwood et al.			
EC	A25	5,907,848	5/1999	Zaiken et al.			

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*G. Colbert*

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**U.S. PATENT DOCUMENTS**

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A26	5,909,683	6/1999	Miginiac et al.			
EC	A27	5,930,759	7/1999	Moore et al.			
1	A28	5,933,816	8/1999	Zeanah et al.			
	A29	5,937,189	8/1999	Branson et al.			
	A30	5,950,169	9/1999	Borghesi et al.			
	A31	5,987,434	11/99	Libman			
	A32	5,991,733	11/1999	Aleia et al.			
	A33	6,038,393	3/2000	Iyengar et al.			
	A34	6,049,665	4/2000	Branson, et al.			
	A35	6,064,983	5/2000	Koehler			
	A36	6,081,832	6/2000	Gilchrist et al			
	A37	6,092,049	7/2000	Chislenko et al.			
	A38	6,105,007	8/2000	Norris			
	A39	6,115,690	9/2000	Wong			
	A40	6,134,582	11/2000	Kennedy			
	A41	6,163,770	12/2000	Gamble et al.			
	A42	6,185,540	2/2001	Schreitmueeller et al.			
	A43	6,226,623	5/2001	Schein et al.			
	A44	6,236,975	5/2001	Boe et al.			
EC	A45	6,336,096	1/2002	Jernberg			

EXAMINER: *J. Bobbitt*DATE CONSIDERED: *6-28-04*

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**FOREIGN PATENT DOCUMENTS**

EXAM. INITIALS	REF. DES.				CLASS	SUB CLASS	TRANSLATION. YES/NO
	A46	0 280 773	9/1988	EP			
	A47	0 465 018	1/1992	EP			
	A48	0 926 608	6/1999	EP			

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

	A49	"@ Fault A Commitment to Consistency," Computer Sciences Corporation, Copyright 2000, pp. 1-2.					
	A50	Merlin, Jr., William F., "Colossus: What We Know Today," The Merlin Law Group, August 2000, Tampa, FL, pp. 1-8.					
	A51	Merlin, Jr., William F., "Collision Course With The Colossus Program: How To Deal With It," The Merlin Law Group, May 2000, Tampa, FL, pp. 1-17.					
	A52	Merlin, Jr., William F., "Overcoming Allstate's Trade Secrets and Work-Product Objections," The Merlin Law Group, March 2000, Tampa, FL, pp. 1-31.					
	A53	Mead, Jay, "Technical Communication, August 1998, V. 45, N.3, p.353-380.					
	A54	Scopus Introduces World's Most Complete Call Center Solution for Financial Services; PR Newswire dated November 5, 1997					
	A55	Borland, Russel; "Running Microsoft Outlook 97", Microsoft Press, 1997					
	A56	Juhl, Randy P., "The OTC Revolution"; Drugtopics.com; March 3, 1997, pp. 1-9.					

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**U.S. PATENT DOCUMENTS**

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE APPROPRIAT
	B1	5524205	06/04/96	Lomet et al.			

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

EL	G1	Continuum Connections, Vol. I, No. 1, The Continuum Company, Inc., November 1991, 16 pages.					
EL	G2	Continuum Connections, Vol. I, No. 2, The Continuum Company, Inc., Jan./Feb. 1992, 17 pages.					
	G3	Continuum Connections, Vol. I, No. 3, The Continuum Company, Inc., March/April 1992, 16 pages.					
	G4	Continuum Connections, Vol. I, No. 4, The Continuum Company, Inc., July/August 1992, 15 pages.					
	G5	Continuum Connections, Vol. II, No. 1, The Continuum Company, Inc., Oct./Nov. 1992, 16 pages.					
	G6	Continuum Connections, Vol. II, No. 2, The Continuum Company, Inc., Dec./Jan. 1993, 24 pages.					
	G7	Continuum Connections, Vol. II, No. 3, The Continuum Company, Inc., March/April 1993, 16 pages.					
	G8	Continuum Connections, Vol. II, No. 4, The Continuum Company, Inc., July/August 1993, 16 pages.					
	G9	Continuum Connections, Vol. II, No. 5, The Continuum Company, Inc., Nov./Dec. 1993, 20 pages.					
	G10	Continuum Connections, Vol. II, No. 6, The Continuum Company, Inc., Jan./Feb. 1994, 19 pages.					
	G11	Continuum Connections, Vol. III, No. 1, The Continuum Company, Inc., March/April 1994, 24 pages.					
	G12	Continuum Connections, Vol. III, No. 2, The Continuum Company, Inc., Nov./Dec. 1994, 20 pages.					
	G13	Continuum Connections, Vol. III, No. 3, The Continuum Company, Inc., March/April 1995, 16 pages.					
	G14	Continuum Connections to the Americas, Vol. 1, No. 1, The Continuum Company, Inc., Sept. 1995, 49 pages.					
	G15	Continuum Connections, Vol. III, No. 4, The Continuum Company, Inc., Oct./Nov. 1995, 24 pages.					
	G16	Continuum Connections to the Americas, Vol. 2, No. 1, The Continuum Company, Inc., Jan. 1996, 59 pages.					
	G17	Continuum Connections to the Americas, Vol. 2, No. 2, The Continuum Company, Inc., March 1996, 59 pages.					
	G18	Continuum Connections to the Americas, Vol. 2, No. 3, The Continuum Company, Inc., May 1996, 51 pages.					
EL	G19	Continuum Connections to the Americas, Vol. 2, No. 4, The Continuum Company, Inc., July 1996, 55 pages.					
EL	G20	Continuum Connections to the Americas, Vol. 2, No. 5, The Continuum Company, Inc., Sept. 1996, 59 pages.					

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Information Disclosure Statement--PTO 1449 (modified)

**Form PTO-1449** (modified)  
List of Patents and Publications  
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ATTY. DKT. NO. 5053-30802

SERIAL NO. 09/699,015

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**U.S. PATENT DOCUMENTS**

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE APPROPRIAT

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

EC	G21	Connections to the Americas, Vol. 3, No. 1, CSC Continuum, January 1997, 55 pages.
EC	G22	Connections to the Americas, Vol. 3, No. 2, CSC Continuum, February 1997, 55 pages.
	G23	Connections to the Americas, Vol. 3, No. 3, CSC Continuum, March 1997, 48 pages.
	G24	Connections to the Americas, Vol. 3, No. 4, CSC Continuum, April 1997, 40 pages.
	G25	Connections to the Americas, Vol. 3, No. 5, Computer Sciences Corporation, May/June 1997, 66 pages
	G26	Connections to the Americas, Vol. 3, No. 6, Computer Sciences Corporation, July/August 1997, 56 pages.
	G27	Connections to the Americas, Vol. 3, No. 7, Computer Sciences Corporation, September/October 1997, 76 pages.
	G28	Connections to the Americas, Vol. 4, No. 1, Computer Sciences Corporation, January 1998, 64 pages.
	G29	Connections to the Americas, Vol. 4, No. 2, Computer Sciences Corporation, February/March 1998, 50 pages.
	G30	Connections to the Americas, Vol. 4, No. 3, Computer Sciences Corporation, May/June 1998, 48 pages
	G31	Connections to the Americas, Vol. 4, No. 4, Computer Sciences Corporation, September/October 1998, 62 pages.
	G32	Insurance Connections, Computer Sciences Corporation, February/March 1999, 52 pages.
	G33	Banking Connections, Computer Sciences Corporation, April/May 1999, 44 pages.
	G34	Insurance Connections, Computer Sciences Corporation, June/July 1999, 56 pages.
	G35	Banking Connections, Computer Sciences Corporation, August/September 1999, 52 pages.
	G36	Insurance Connections, Computer Sciences Corporation, October/November 1999, 56 pages.
	G37	Cost Containment: Products and Solutions for the Property and Casualty Insurance Industry, Computer Sciences Corporation, October 1999, 40 pages.
	G38	Banking Connections, Computer Sciences Corporation, December 1999, 48 pages.
	G39	Insurance Connections, Computer Sciences Corporation, February/March 2000, 60 pages.
	G40	Banking Connections, Computer Sciences Corporation, April/May 2000, 48 pages.
EC	G41	Insurance Connections, Computer Sciences Corporation, June/July 2000, 43 pages.
EC	G42	Insurance Connections, Computer Sciences Corporation, September/October 2000, 43 pages.

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EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE APPROPRIAT

### OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

<i>EL</i>	G43	Banking Connections, Computer Sciences Corporation, November/December 2000, 48 pages.
<i>EL</i>	K1	International search report application number PCT/ US 00/18016, mailed November 10, 2000.
<i>EL</i>	K2	International search report application number PCT/US 00/18020 mailed November 10, 2000.

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EXAMINER: *E. Bobbitt*      DATE CONSIDERED: 7-12-04

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Information Disclosure Statement--PTO 1449 (modified)